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**Boots on the ground and ears in the canopy:
evaluating the effectiveness of a combined monitoring
approach in counter poaching in protected areas**

 Barbara Escobar-Anleu, Allison L. Devlin, Alejandra Martínez-Salinas, Roberto Salom-Perez, Ana Patricia Calderón,  Amaia Autor-Cortés, Sara H. Williams, Jamie Robertson, Yorik Tenes, Bryan Finegan

1 **Boots on the ground and ears in the canopy: evaluating the effectiveness of a**
2 **combined monitoring approach in counter poaching in protected areas**

3 **Introduction**

4 Biodiversity is fundamental to human survival and economic development but faces
5 significant threats from both natural processes and human activities (Prakash and Verma
6 2022). Anthropogenic activities have greatly increased over time, exacerbating negative
7 impacts on biodiversity (Kumar and Verma 2017, Goudie 2018). Major drivers of
8 wildlife population decline include habitat destruction, overexploitation, climate change
9 and invasive species (Dirzo et al. 2014, Prakash and Verma 2022). These threats
10 accelerate biodiversity loss and species extinction (Dirzo et al. 2014, Crooks et al. 2017,
11 Newbold et al. 2020). Contributing mechanisms include land-use change;
12 overharvesting; alterations on phenology, species interactions and distributions,
13 enhancing spread of diseases and invasive species (Dueñas et al. 2021, Caro et al. 2022,
14 Prakash and Verma 2022). As human activities expand, human-wildlife interactions
15 increase, often resulting in lethal outcomes for wildlife (Decker and Dimensions 2009,
16 Jochum et al. 2014).

17

18 In response, protected area management has become crucial and is considered one of the
19 most important *in situ* conservation strategies (Chape et al. 2005, Dudley 2008,
20 Acreman et al. 2020). Studies yielded varied results on their effectiveness (Stoll-
21 Kleemann 2010, Geldmann et al. 2013, Acreman et al. 2020) due to the lack of
22 universal metrics (Chape et al. 2005, Stoll-Kleemann 2010). Nonetheless, protected
23 areas contribute to conserving unique ecosystems, (Rodrigues et al. 2004, Le Saout et
24 al. 2013, Maxwell et al. 2020), reducing forest loss (Geldmann et al. 2013, Le Saout et
25 al. 2013, Maxwell et al. 2020); and supporting wildlife populations persistence
26 (Geldmann et al. 2013, Le Saout et al. 2013). They also provide ecosystem services
27 such as water, food security and carbon sequestration (Scharlemann et al. 2010, Watson
28 et al. 2014, Soares-Filho et al. 2023). In some developing countries, proximity to
29 protected areas is related to higher household wealth and lower poverty rates (Griscom
30 et al. 2017, Naidoo et al. 2019, Maxwell et al. 2020).

31

32 Despite their importance, protected areas often face illegal activities like poaching and
33 deforestation (Bruner et al. 2001, Chape et al. 2005, Gonedelé-Bi et al. 2019), causing
34 habitat loss (Carey et al. 2000), population isolation (Zeller et al. 2013, Beca et al.
35 2017), and even extirpation (Romero-Muñoz et al. 2019). Patrols, are a cost-effective
36 and essential active protection strategy (Critchlow et al. 2017, Gonedelé-Bi et al. 2019).
37 These ranger-led hikes help detect and reduce illegal activities, contributing to wildlife
38 protection (Jenks et al. 2012, Linkie et al. 2015, Kablan et al. 2019, Astaras et al. 2020).
39 However, patrols must be regularly evaluated to understand their spatial and temporal
40 effects (Linkie et al. 2015, Astaras et al. 2017, Kablan et al. 2019). Such assessments
41 are unfortunately rare due to biases in patrol coverage, limited personnel, and
42 researchers' lack of control over collected data (Tschardt et al. 2012, Linkie et al.
43 2015, Dobson et al. 2020, Moreto and Charlton 2021).

44

45 Measuring patrols effectiveness is crucial to informed, adaptive conservation (Balmford
46 2012). Tools like the Spatial Monitoring and Reporting Tool (SMART;
47 <https://smartconservationtools.org/>) facilitate standardizing patrol data collection and
48 analysis (Spatial Monitoring and Reporting Tool (SMART) 2022). SMART improves
49 enforcement, management practices, ranger motivation, real-time monitoring and results
50 communication (Hernandez-Aguilar et al. 2018, Kuiper et al. 2021).

51

52 Another novel valuable tool is passive acoustic monitoring (PAM), which uses
53 recording devices to detect diverse sounds, such as animal vocalizations, chainsaws or
54 gunshots (Thompson et al. 2010; Astaras et al. 2017). PAM is used to estimate species
55 distribution and abundance (Hill et al. 2018; Burivalova et al. 2019; Stephenson 2020),
56 characterize soundscapes (Hill et al. 2018, Sethi et al. 2020), asses impacts of human
57 activities such as gas wells (Deichmann et al. 2017), construction (Santoso et al. 2021)
58 and poaching (Martin et al. 2021). It can be applied across spatial and temporal scales
59 (Krause and Farina 2016, Deichmann et al. 2017, Moreira Sugai et al. 2019) and is
60 increasingly used for its efficiency and support for adaptive management (Astaras et al.
61 2017, Gibb et al. 2019). Adaptive management, an approach used in conservation,
62 involves integrating design, management, and monitoring to test assumptions and
63 facilitate ongoing adaptation and learning (Allen and Garmestani 2015).

64

65 Combining approaches may best support urgent conservation actions (Kamminga et al.
 66 2018). Promising results have been shown by combining PAM with methods such as
 67 camera traps (Buxton et al. 2018b), casual or monitoring observations (Wrege et al.
 68 2012, Zeppelzauer and Stoeger 2015) and occupancy models (Vu and Tran 2020). Due
 69 to the high cost of some technology devices used to study wildlife and threats, their use
 70 remains restricted to small or well-funded programs (Smith et al. 2021). Therefore,
 71 combined approaches may be particularly beneficial in Central American countries,
 72 where monitoring and research investment is limited (Rydén et al. 2020, Morales-
 73 Marroquín et al. 2022).

74

75 While SMART has improved the management of protected areas and reduced threats to
 76 wildlife mainly in Asia and Africa (Lynam et al. 2015, Astaras et al. 2020, Gabriel and
 77 Ravindran 2021, Kavhu and Mpakairi 2021), its use in the Americas remains limited.
 78 One notable case is its implementation in Belize’s terrestrial protected areas to monitor
 79 illegal activities, enhance enforcement efforts, and support management decision-
 80 making through data collection and analysis (Hernandez-Aguilar et al. 2018). PAM, on
 81 the other hand, has been used to monitor poaching activity in Honduras and Guatemala
 82 (Escobar-Anleu 2019, Martin et al. 2021) and to evaluate the impact of extractive
 83 activities and guide mitigation strategies in Peru (Deichmann et al. 2017), Belize (Hill et
 84 al. 2018, Dobbins et al. 2020), Guatemala (Escobar-Anleu 2019) and Honduras (Martin
 85 et al. 2021). Despite the separate use of both methods in Central America, there are no
 86 studies assessing their combined effects or potential to enhance protected area
 87 management. Here we report results from a monitoring approach combining SMART
 88 patrols and PAM data to inform adaptive management in a protected area of Guatemala.
 89 Our findings represent the first published study of its kind for the Americas. We used
 90 information from SMART patrols and PAM in Sierra Caral Water and Forest Reserve,
 91 Izabal, Guatemala from 2017 to 2021 to answer two research questions: 1) does the
 92 combined monitoring approach and the derived adaptive management response yield a
 93 decrease in poaching activity? and 2) which covariates impact the number of detected
 94 poaching events?

95

96 **Methods**

97 *Study area*

98 We conducted this study within the Sierra Caral Water and Forest Reserve (SCWFR)
 99 located in Izabal, eastern Guatemala, a department bordering the Caribbean Sea
 100 (González-Bernat and Clifton 2021) and connecting Guatemala with Honduras and
 101 Belize. Izabal is key for regional biodiversity and wild cat connectivity, forming part of
 102 the Mesoamerican Biological Corridor and the Jaguar Corridor Initiative (Rabinowitz
 103 and Zeller 2010, Graham 2011, Petracca et al. 2014, 2018). Despite its importance,
 104 Izabal has one of the highest deforestation rates nationwide (Iarna-URL 2012, Redo et
 105 al. 2012, Sesnie et al. 2017), second only to Peten with 38% of its tree cover loss from
 106 2002 to 2023 corresponding to humid primary forest (Global Forest Watch 2024).
 107 Additional threats include mining, monocultures, cattle ranching and cross-border
 108 illegal activities (IUCN 2014, González-Bernat and Clifton 2021).

109

110 Poaching is also a major threat and given that the Caribbean slope encompasses almost
 111 the entire extent of jaguar (*Panthera onca*) occurrence in Central America, reducing
 112 these threat is critical (Calderón et al. 2022). In addition to jaguars and the other four
 113 wild cat species present in Guatemala (puma, *Puma concolor*; ocelot, *Leopardus*
 114 *pardalis*; jaguarundi, *Herpailurus yagouaroundi*; margay, *Leopardus wiedii*), other
 115 threatened and/or intensely targeted species include the Central American tapir (*Tapirus*
 116 *bairdii*) and lowland paca (*Cuniculus paca*; Escobar-Anleu 2019). Other species we
 117 have documented as frequent poaching targets in the region include nine-banded
 118 armadillo (*Dasypus novemcinctus*), tinamous (*Crypturellus soui* and *Tinamus major*),
 119 Great Curassow (*Crax rubra*), white-nosed coati (*Nasua narica*), white-tailed deer
 120 (*Odocoileus virginianus*), and red brocket deer (*Mazama temama*), primarily hunted
 121 using firearms. Poachers often establish camps and use “tapescos”—elevated platforms
 122 made of sticks—to wait for animals, and use fire to cook them on-site.

123

124 SCWFR is a 190 km² protected area in southeastern Izabal (15°23'49.3"N -
 125 88°42'58"W), bordering with Honduras (Fig. 1). It has three core zones (La Firmeza,
 126 Negro Norte, and Peñitas), surrounded by a multi-use zone and buffer area (Fig. 1). Co-

127 managed by Fundación para el Ecodesarrollo y la Conservación (FUNDAECO) and the
 128 Consejo Nacional de Áreas Protegidas (CONAP), it was designated a Category III
 129 protected area in 2012 due to its high amphibian endemism, water source protection and
 130 role in regional connectivity with other protected areas (i.e., Cusuco National Park and
 131 Copán in Honduras) (Nowakowski and Angulo 2015). Category III protected areas are
 132 under control to regulate different pressures and may be made up of public and/or
 133 private land; they are considered relatively large, generally covered by forests but also
 134 with sites appropriate for sustainable production (CONAP 2016). Unlike other
 135 management categories that focus primarily on conservation, research or recreation or
 136 recreation, the goal of this category is to maintain the productivity of the areas and their
 137 resources in perpetuity, contributing to development through sustainable management
 138 and use (CONAP 2016).

139

140 SCWFR features subtropical moist and wet forests with an altitude ranging from 100-
 141 1221 masl, average temperature of 26.5°C and a mean annual precipitation of 3461 mm
 142 (INSIVUMEH 2010, Pérez-Consuegra et al. 2018). It contains one of the most
 143 biodiverse forest remnants in Central America (International Conservation Fund of
 144 Canada 2023), and harbors endemic species such as the blue pitviper (*Bothriechis*
 145 *thalassinus*; International Conservation Fund of Canada 2023), scarab beetle
 146 (*Phalangonia monzoni*; Smith 2021) and the Copan brook frog (*Duellmanohyla soralia*;
 147 Nowakowski and Angulo 2015), as well as rare mammal species (naked-tailed
 148 armadillo, *Cabassous centralis*; Pellecer et al. 2019) or not previously reported for the
 149 country (brown four-eyed opossum, *Metachirus myosuroides*; Trujillo and Escobar-Anleu
 150 2023). The area also supports migratory and threatened birds such as painted bunting
 151 (*Passerina ciris*), Canada warbler (*Cardellina canadensis*), Olive-throated parakeet
 152 (*Eupsittula nana*), highland guan (*Penelopina nigra*); slaty-brested tinamou,
 153 (*Crypturellus boucardi*) (Blandón et al. 2018, International Conservation Fund of
 154 Canada 2023, IUCN 2023).

155

156 We chose La Firmeza core zone (14.79 km²) for this pilot study due to its accessibility,
 157 existing SMART patrols, and strong relationships with nearby local communities,
 158 which played an important role in monitoring activities. PAM and SMART patrols were

159 implemented beginning in 2017. To evaluate if its combined use and the adaptive
160 management response effectively contributed to counter poaching activity, we divided
161 data collection into two phases: the pre-adaptive phase (September 2017-September
162 2018) and the adaptive phase (October 2018 onward), during which FUNDAECO
163 implemented actions based on the initial findings.

164

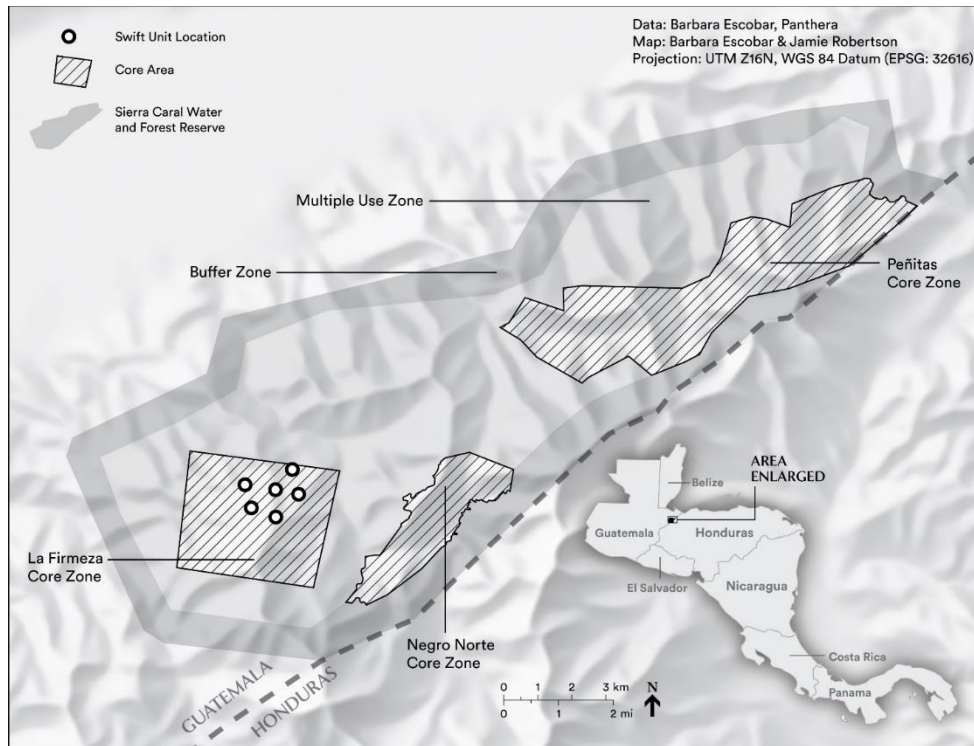
165 *Passive acoustic monitoring*

166 From 2017 to 2021, we deployed Swift passive acoustic recording units (Ithaca, New
167 York, United States) in the forest canopy of La Firmeza (Fig. 1). Swift units are
168 autonomous recorders used to collect passive acoustic data for conservation and
169 research purposes (Cornell University 2021b). We used them to detect gunshots as a
170 proxy for poaching activity (Hill et al. 2018, Astaras et al. 2020).

171

172 To estimate detection distance, we deployed six devices and produced nine shotgun
173 shots at various altitudes and distances. We estimated a radius of detection of 500 m. To
174 ensure the independence of events, we deployed six recorders > 1 km apart. Swift units
175 were placed at the same locations during seven periods from 2017-2021 (November
176 2017-January 2018; June-September 2018; December 2018-April 2019; December
177 2019-March 2020; March-June 2020; May-August 2021; and September-November
178 2021). We discarded data from December 24th - January 2nd, as those included major
179 holidays when fireworks are frequently used, making it difficult to distinguish from
180 gunshots. At the beginning of each period, we ensured the Swift units were properly
181 functioning and set them to continuously record (24 hours/day). At the end of each
182 period, we removed them and used a gunshot detection algorithm (Wrege et al. 2017)
183 followed by confirmation using RAVEN PRO (Cornell University 2021a). RAVEN
184 PRO enables acquisition, visualization and analysis of acoustic data (Cornell University
185 2021a). Each episode of gunfire, whether isolated or in bursts, was considered a
186 poaching event.

187 **Figure 1.** Location map of Sierra Caral Water and Forest Reserve (SCWFR) in
 188 Guatemala depicting its zonation and the location of the Swift units (n=6) in the core
 189 zone “La Firmeza”.



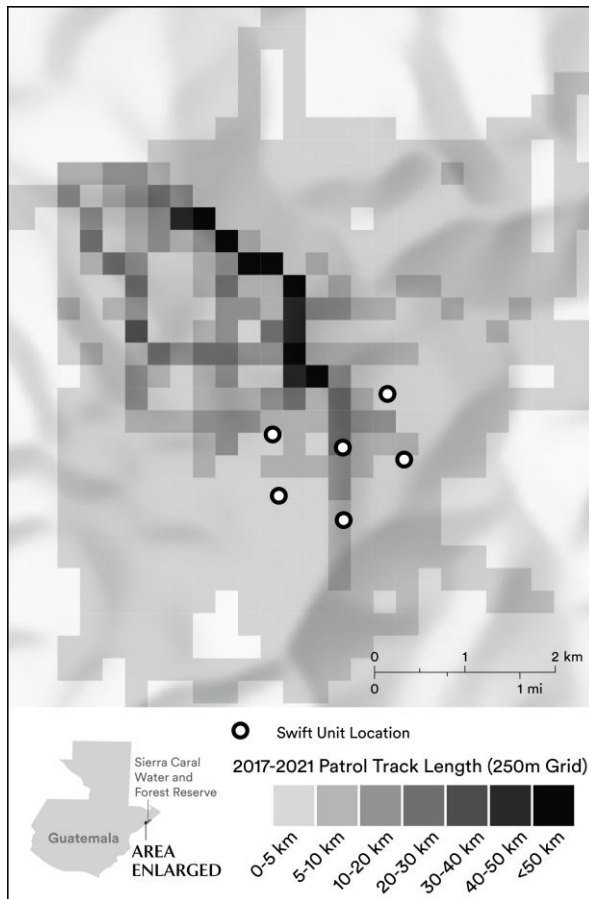
190

191

192 *SMART patrols*

193 La Firmeza was patrolled by a team of local rangers using the SMART approach from
 194 2017 to 2021, walking an average distance of 51.53 km per month (Fig. 2). Date, time,
 195 GPS coordinates, track and poaching evidence were recorded for each patrol. Poaching
 196 events included physical evidence such as bullet casings, camping and fire pit sites,
 197 temporary structures or wildlife remains. We entered data into a SMART database
 198 created for SCWFR. We calculated metrics on patrol effort (person-hour), distance
 199 traveled (km) and identified key poaching locations and dates.

200 **Figure 2.** SMART patrol intensity and locations of Swift units in La Firmeza core zone,
 201 Sierra Caral Water and Forest Reserve (SCWFR), Guatemala. This map shows the
 202 locations of Swift units (circles) and patrol intensity based on SMART patrols from
 203 2017 to 2021, represented in a 250m grid where darker shades indicate higher patrol
 204 effort.



206 *Data organization and management response*

207 The period from September 2017 to September 2018 was defined as the baseline (pre-
 208 adaptive phase). The adaptive phase began in October 2018 with the implementation of
 209 actions as the management response, including increasing patrols on weekdays with
 210 higher poaching activity and focusing patrols in areas with higher evidence of poaching.
 211 These patrols were mainly conducted by local rangers, but this information also allowed
 212 the planning of inter-agency patrols, involving government entities capable of making
 213 arrests. Based on local knowledge of the area, we know that increased presence in the
 214 area and sporadic interagency patrols with the potential for arrests and captures
 215 contribute to deterring poaching activity. Our patrols goal was to deter poaching and
 216 dismantle camps and other poaching structures, such as “tapescos”.

217

218 To evaluate if the combined SMART-PAM protocol and the management response
 219 effectively contributed to reduce poaching, we aggregated gunshots and patrol data for
 220 all four years in a combined data framework to analyze poaching patterns (Astaras et al.

221 2020). We used a 2x2 km grid in the area to facilitate spatial aggregation of the
 222 combined data (SMART patrols and PAM events). This database was also used to
 223 explore covariate effects on poaching detection.

224

225 According to the findings from the combined SMART patrols and PAM protocol during
 226 the pre-adaptive phase, several management actions were implemented starting in
 227 October 2018 (adaptive phase). These actions included increasing patrols on weekdays
 228 with higher poaching activity and conducting more frequent patrols in areas with
 229 significant evidence of poaching.

230

231 *Data analysis*

232 We used the number of detected poaching events as the response variable and tested 11
 233 explanatory variables (Table 1; Min-Venditti et al. 2017; Ferreguetti et al. 2018; Astaras
 234 et al. 2020) grouped into temporal, effort and environmental categories. Temporal
 235 covariates included management phase (pre-adaptive or adaptive) and year. Effort
 236 covariates included distance patrolled, patrol effort and number of Swift units per grid.
 237 Environmental covariates included Normalized Difference Vegetation Index (NDVI),
 238 precipitation, moon illumination (%), and distances to water, towns and roads (Table 1).
 239 We calculated Pearson correlation coefficients (r) to assess the relationships among
 240 covariates that could be related (e.g. distance patrolled and patrol effort) and retain only
 241 those relevant to avoid redundancy. We considered a strong correlation as $r > 0.5$ for
 242 positive and $r < -0.5$ for negative correlations. However, none showed strong
 243 correlations.

244

245 **Table 1.** Covariates and their expected influence used in the general linear models
 246 (GLMs) to predict poaching activity events detected with the combined protocol
 247 (SMART patrols and passive acoustic monitoring) in the La Firmeza core zone of the
 248 Sierra Caral Water and Forest Reserve (SCWFR), Guatemala (2017-2021).

Category	Covariate	Unit	Range (min-max)	Mean value	Expected influence
Temporal	Management phase	Pre-adaptive or adaptive	NA	NA	More poaching events during the pre-adaptive phase.
	Year	Year	2017-2021	NA	Poaching events will decrease over the years.
Effort	Distance patrolled	Km	1.18-48.86	9.63	More poaching events detected with longer patrolled distances.
	Patrol effort	Person-hour	6.75-168	54.46	More poaching events detected with higher patrol effort.
	Number of Swift recorders per grid	Number	1-3	NA	More poaching events in grids with higher number of Swift recorders.
Environmental	Normalized Difference Vegetation Index	-	0.72-0.88	0.84	More poaching events in locations with higher NDVI.
	Precipitation	Mm	0-110	3.56	Less poaching events with higher precipitation.

	Moon illumination	%	NA	NA	Less poaching events with higher moon illumination.
	Distance to water	Km	0.05-1.81	0.25	More poaching events near water.
	Distance to towns	Km	1.92-4.83	3.34	More poaching events near towns.
	Distance to roads	km	3.29-6.38	5.69	More poaching events near roads.

249

250 Distance patrolled and patrol effort were estimated using SMART’s patrol query option.
 251 The number of recorders refers to the number of Swift units per 2x2 km grid.
 252 Precipitation data used was from the closest meteorological station (Livingston, Izabal)
 253 and requested from the Instituto Nacional de Sismología, Vulcanología, Meteorología e
 254 Hidrología (INSIVUMEH) for the entire study period. We used precipitation data
 255 specific to the days and nights when poaching events were detected. The percentage of
 256 moon illumination was obtained using MOONPHASE SH software (version 3.3; Henrik
 257 Tingstrom) for every night when gunshots were detected. Distances (to water, towns
 258 and roads) were calculated using QGIS Spatial Analyst tool (QGIS 2024). NDVI
 259 quarterly stacks for each year of the study were built from Landsat images (Gorelick et
 260 al. 2017) using Google Earth Engine Data Catalog.

261

262 We evaluated the effectiveness of the combined SMART-PAM protocol and the
 263 adaptive management response using general linear models (GLMs) within the *stats*
 264 package (Bates et al. 2015) in *R* software (R Core Team 2013). With this we also
 265 determined how the temporal, effort and environmental covariates affected the number
 266 of detected poaching events. In all cases, we tested for normality of the residuals and
 267 used Corrected Akaike Information Criterion (AICc; recommended for small sample
 268 sizes for model selection (Anderson and Burnham 2002, Bonakdari and Zeynodin
 269 2022). Using a systematic approach, guided by the Akaike Information Criterion (AIC)

270 and the p-values, we sequentially eliminated covariates with least statistical significance
271 or minimal effect on model explanatory power. This iterative process resulted in 11
272 models, each progressively refined by removing one covariate at a time. We retained the
273 top model and those that were within 2 AICc units of the top model (Anderson and
274 Burnham 2002), basing our final selection on models that balanced simplicity and
275 explanatory ability, procuring to retain covariates that significantly contributed to
276 understanding the data.

277

278 We considered temporal covariates such as year and management phase since we
279 expected to see a reduction in the number of detected poaching events over time. We
280 also considered covariates such as distance patrolled (km), patrol effort (person-hour)
281 and number of recorders, since they can affect the detection of poaching. In other
282 words, we expected that larger groups of rangers, larger distances of patrolling and
283 more recorders (per 2x2 km grid) would result in a higher detection of poaching events.
284 Precipitation (Rashidi et al. 2016), percentage of moon illumination (Pratas-Santiago et
285 al. 2017, Astaras et al. 2020), NDVI (Rashidi et al. 2016), distance to water, distance to
286 towns and distance to roads (Dobson et al. 2020) are also covariates that can affect
287 poaching activity since they can influence poachers' visibility and mobility, site access
288 and the probability of encountering wild species.

289 During the preparation of this work we used ChatGPT in order to help reduce the word
290 count and review the phrasing of some ideas. After using this tool, we reviewed and
291 edited the content as needed and takes full responsibility for the content of the
292 publication.

293

294 *Use of Artificial Intelligence*

295 During the preparation of this manuscript, we used ChatGPT (OpenAI, 2024) to assist
296 with reducing the word count and review the phrasing of certain sentences. We carefully
297 reviewed and edited all text resulting from its use.

298 Results

299 From September 2017 to December 2021, 273 SMART patrols were conducted within
300 the core area of La Firmeza in SCWFR. These patrols covered 2,628 km and
301 represented a 14 868 person-hours effort. This effort yielded evidence of 313 poaching
302 events. The location of the Swift units remained the same throughout the study. In total,
303 Swift units sampled for 2491 days covered 18.84 km² (on average) during each PAM
304 sampling period. All together, 506 poaching events (gunshots) were detected by PAM.
305 We estimated a 67.52% overlap between the Swift units detection buffer and the
306 patrolled areas.

307

308 Eleven candidate models were generated, each incorporating the management phase
309 along with other frequently included covariates such as kilometers patrolled, percentage
310 of moon illumination, patrol effort, and distance patrolled. From these, four competing
311 models were selected according to deltaAICc and AICc weight (Table 2). The most
312 parsimonious model included only management phase (mod11; Δ AICc = 1.15, AICw =
313 0.19). However, the top model also included distance patrolled (mod10; Δ AICc = 0.00,
314 AICw = 0.34). The other top models also included percentage of moon illumination
315 (mod9, Δ AICc = 0.75, AICw = 0.23) and patrol effort (mod8; Δ AICc = 1.71, AICw =
316 0.14).

317

318 Our results indicate that management phase had a significant effect on the number of
319 detected poaching events (Table 3). The monthly average of poaching events during the
320 pre-adaptive phase was reduced from 21 in the adaptive phase to 14 after using the
321 SMART patrols and PAM information to adapt the patrol locations (Fig. 3). Other
322 covariates that affected the number of detected poaching events were a longer distance
323 patrolled, and higher percentage of moon illumination, which resulted in a higher
324 number of detected poaching events, while an increase in patrol effort resulted in a
325 lower number of poaching events detected with the combined protocol.

326

327 **Table 2.** Summary of candidate model set of 11 general linear models (GLMs)
328 predicting poaching activity events detected with the combined protocol (SMART

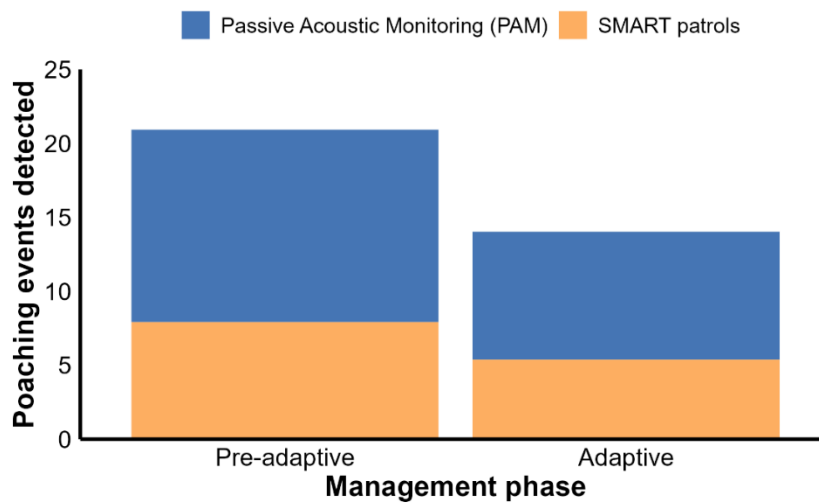
329 patrols and passive acoustic monitoring) in the La Firmeza core zone of the Sierra Caral
 330 Water and Forest Reserve (SCWFR), Guatemala (2017-2021). We report AICc, delta
 331 AICc ($\Delta AICc$) and AICc weight for each candidate model.

Model	Covariates*	AICc	$\Delta AICc$	AICc weight
Selected models				
Model 10	Manp + km	231.71	0.00	0.34
Model 9	Manp + km + moon	232.46	0.75	0.23
Model 11	Manp	232.86	1.15	0.19
Model 8	Manp + km + Peff + moon	233.42	1.71	0.14
Other models				
Model 7	Manp + km + Peff + moon + Dt	235.10	3.40	0.06
Model 6	Manp + km + Peff + ppt + moon + Dt	237.04	5.34	0.02
Model 5	Manp + km + Peff + ppt + moon + Dt + Dr	239.13	7.42	0.01
Model 4	Manp + Rec + km + Peff + ppt + moon + Dt + Dr	241.57	9.87	0.00
Model 3	Manp + Rec + km + Peff + ppt + moon + Dw + Dt + Dr	244.10	12.40	0.00
Model 2	Manp + Rec + Year + km + Peff + ppt + moon + Dw + Dt + Dr	246.75	15.05	0.00
Model 1	Manp + Rec + Year + km + Peff + ppt + moon + Dw + Dt + Dr + NDVI	249.53	17.82	0.00

332 * Manp – management phase, km – distance patrolled, moon – moon illumination
 333 percentage, Peff – patrol effort, ppt – precipitation, Dt – distance to towns, Dr – distance
 334 to roads, Rec – number of recorders, Dw – distance to water, NDVI – Normalized
 335 Difference Vegetation Index

336

337 Figure 3. Poaching events detected by SMART patrols and Passive Acoustic
 338 Monitoring (PAM) across management phases



339

340

341 **Table 3.** Results of the top model selected by AICc, which includes fixed effects of
 342 management phase (Manp) and distance patrolled (km) as they relate to poaching
 343 activity detected with the combined protocol (SMART patrols and passive acoustic
 344 monitoring) in La Firmeza core zone of the Sierra Caral Water and Forest Reserve
 345 (SCWFR), Guatemala (2017-2021).

Effect	Estimate	SE	z-value	p-value
(Intercept)	0.0778	0.23	0.29	0.77
Manp	0.39	0.18	2.15	0.03**
km	0.04	0.02	1.89	0.06*

346 **significant at 0.05, *significant at 0.1

347 Discussion

348 Our study is the first in the Americas to evaluate the effectiveness of a combined
 349 protocol involving SMART and PAM patrols in protected areas, along with the
 350 management response derived from the monitoring data, in reducing poaching events.
 351 Previous studies evaluated the effectiveness of patrols in reducing poaching (Wiafe
 352 2016, Moore et al. 2018, Kablan et al. 2019), while others used PAM to measure

353 poaching activity (Browning et al. 2017, Martin et al. 2021, Martínez Pardo et al. 2022).
354 The only similar study was conducted in Korup National Park, Cameroon, where PAM
355 was used to explore hunting activity in the protected area. Anti-poaching patrols were
356 adapted in response to the evidence gathered from PAM and the effectiveness of the
357 new patrol strategy was evaluated (Astaras et al. 2020).

358

359 *Combined approach and adaptive management to reduce poaching*

360 Our results indicate that the number of poaching events detected were significantly
361 higher during the pre-adaptive management phase than after the management response
362 was implemented. This supports the interpretation of an overall reduction in poaching
363 activity, likely due to the complementary nature of both methods, which were used to
364 inform effective adaptive management practices.

365

366 Patrols are a widely employed tool within protected areas and are known for their cost-
367 effectiveness, as they are often simultaneously used to prevent illegal activities as well
368 as to collect information about ecosystems and their biodiversity (Kuiper et al. 2020,
369 2021). Cases worldwide have shown that patrols significantly contribute to reducing
370 poaching in protected areas (Carter et al. 2017, Moore et al. 2018, Gonedelé-Bi et al.
371 2019), even outperforming other methods to deter illegal activities (Van Doormaal et al.
372 2022). Compared to other studies in similar areas and systems. our patrol metrics were.
373 Korup National Park in Cameroon has an area of 1,259 km² and a monthly patrolled
374 distance of 80 km (Astaras et al. 2020), and Cusuco National Park in Honduras has an
375 area of 234.4 km² and a monthly patrolled distance of 101.8 km (Martin et al. 2021). In
376 comparison, SCWFR has an area of 190 km² and our monthly average distance
377 patrolled was 51.53 km. These differences are likely associated with the different size of
378 the areas, the very challenging topography and the limited resources of management
379 agencies in our study area, which can hinder covering large distances compared to other
380 areas where more patrols and/or larger ranger teams are feasible.

381

382 No matter how much patrol effort is conducted, patrols also have inherent limitations,
383 such as being typically conducted only during daylight hours and often with
384 understaffed ranger teams responsible for monitoring vast areas (Astaras et al. 2020,
385 Dobbins et al. 2020), which was the case in our study area. Although rangers are trained
386 and experienced in conducting patrols and systematizing the collected information,
387 biases in the data collected may exist due to multiple factors (Dobson et al. 2019, Van
388 Doormaal et al. 2022). Landscape features such as vegetation type, topography, and
389 accessibility of certain sites within the protected area can determine the extent to which
390 certain areas are patrolled and illegal events detected (O’Kelly et al. 2018, Kuiper et al.
391 2020, Moore et al. 2021). Factors such as the level of experience, training, and
392 motivation of the rangers, as well as equipment failures or human errors (such as
393 forgetting to collect certain data), also influence the information gathered during patrols
394 (Kavhu and Mpakairi 2021, Kuiper et al. 2021, Van Doormaal et al. 2022). All this may
395 lead to lower detection of poaching activity and an underestimation of poaching events.

396

397 PAM has been used in varied environments and in countries such as Brazil (Martínez
398 Pardo et al. 2022), Honduras (Martin et al. 2021), Cameroon (Astaras et al. 2020), Peru
399 (Deichmann et al. 2017) and Belize (Katsis et al. 2022) to characterize poaching activity
400 and propose management measures to reduce these threats within protected areas. This
401 method has multiple advantages including that is a cost-effective tool that can quickly
402 assess changes, it quantifies human activity in real time, and facilitates monitoring
403 several locations over long time periods (Wall et al. 2014, Astaras et al. 2017, 2020,
404 Buxton et al. 2018a). While PAM is often perceived as cost-effective, in many Global
405 South contexts, technology-based solutions perpetuate a form of neoliberal
406 conservation, in which countries become dependent on expensive and inaccessible
407 technologies manufactured in the Global North, exacerbating structural inequalities in
408 conservation (Arrighi et al. 2003, Berger-Tal and Lahoz-Monfort 2018).

409

410 Given that all monitoring methods have limitations, a combination of them can be a
411 more effective approach to reduce illegal activities such as poaching (Gavin et al. 2010,
412 Knapp et al. 2010). Since, in the context of poaching detection and reduction, PAM
413 solely relies on gunshot sounds as evidence to detect poaching activity (Astaras et al.

414 2020, Martin et al. 2021, Hedley et al. 2022, Martínez Pardo et al. 2022), it overlooks
 415 other indicators that are only detectable through patrols, such as animal remains,
 416 campsites, fires, and other poachers' traces. While the detection of certain poaching
 417 evidence may be more effective with patrols (Van Doormaal et al. 2022), specifically
 418 identifying poachers is challenging with this method, as they actively seek ways to
 419 evade patrols (Burton et al. 2012). This coupled with the fact that when Swift units are
 420 in the field, they collect data 24 hours a day (unlike patrols), highlights the utility and
 421 importance of combining these complementary methods. We recommend these kind of
 422 combined approaches since each method allows us to observe spatio-temporal patterns
 423 that the other method may not necessarily detect, thereby promoting a more
 424 comprehensive understanding of poaching activity in the protected area.

425

426 *Covariates effect on poaching events detected*

427 Although we did not find that the combined protocol and its management response
 428 reduced poaching over the years of our study, we did observe a reduction in detected
 429 poaching events during the adaptive phase compared to the pre-adaptive phase. Other
 430 covariates that influenced the number of detected poaching events were distance
 431 patrolled and percentage of moon illumination. Both of these covariates were positively
 432 associated with an increase in the number of poaching events detected. The positive
 433 effect of distance patrolled has been documented in previous studies and could be
 434 attributed to a higher probability of finding more poaching incidents when walking
 435 greater distances, or perhaps due to poaching activities being concentrated in areas more
 436 distant from ranger meeting points (Linkie et al. 2015, Moore et al. 2018). Walking
 437 greater distances can also mean that park rangers were able to reach places that they do
 438 not frequent very often, so over time, more poaching evidence can accumulate.
 439 Furthermore, when walking greater distances, park rangers could reach some sites with
 440 habitat conditions preferred by poachers, such as vegetation that provides enough cover
 441 (Rashidi et al. 2016). Therefore, this result may be more reflective of increased
 442 detection of poaching events rather than an increase in the occurrence of poaching
 443 events. On the other hand, patrol effort was associated with a lower number of poaching
 444 events. Previous studies have found similar results where a higher patrol frequency and
 445 effort is associated with lower occurrence of poaching activity (Linkie et al. 2015). This

446 could happen if rangers repeatedly patrol the same areas (Moore et al. 2021), leading to
447 greater presence that deters poachers by sending the message that the area and its
448 wildlife are being protected (Gonedelé-Bi et al. 2019, Moore et al. 2021). On the other
449 hand, some studies have shown that detection of poaching activity evidence varies
450 according to other factors such as area accessibility (Kuiper et al. 2021), the training and
451 experience of the park rangers, as well as their motivation and the novelty of the patrol
452 purpose (Lewandowski and Specht 2015, Kuiper et al. 2021). In our study area, while
453 large groups of rangers (which may be associated with increased person-hour effort)
454 could deter poachers, they may also be easier for poachers to detect and evade.
455 Additionally, larger groups might hinder participants from staying focused and,
456 consequently, finding less evidence (Van Doormaal et al. 2022).

457

458 Moon illumination has been considered in numerous studies, as it impacts the activity
459 patterns of wildlife and plays a role in the behaviors of poachers (Pratas-Santiago et al.
460 2017, Rahman and Mardiasuti 2021, Geldenhuys 2023). In our study, we found number
461 that more poaching events were associated with a higher percentage of moon
462 illumination. While some previous studies have found the opposite, these have usually
463 been carried out in open habitat or with less dense vegetation (e.g. deciduous mixed oak
464 woods) than that found in tropical humid forests like the one we work in (Astaras et al.
465 2020, Gordigiani et al. 2022). Other studies suggest that higher rates of poaching
466 activity during times of increased moon illumination are due to less experienced
467 poachers using the increased lighting conditions (Koen et al. 2017) or due to the activity
468 of some species of interest (Pratas-Santiago et al. 2017, Ghoddousi et al. 2022,
469 Gordigiani et al. 2022). In our study area, poachers likely take advantage of the moon's
470 brightness to enhance visibility and improve their performance (Ferreguetti et al. 2018,
471 Guerisoli et al. 2023), particularly due to the dense vegetation in the area and because
472 patrols are only carried out during the day.

473

474 In some previous studies, precipitation had a significant negative impact in poaching
475 activity (Gobush et al. 2008, Nhleko et al. 2022), since intense rainfall can considerably
476 change vegetation, overflow streams, impede poacher movement, reduce visibility, and

477 hinder the use of weapons (Gobush et al. 2008, Astaras et al. 2017). However, in
478 lowland tropical humid forests like SCWFR, precipitation changes during wet and dry
479 seasons are typically less pronounced compared to other ecosystems (Clark and Clark
480 1992, Feeley and Silman 2011). As such, poachers are likely accustomed to the
481 challenges that precipitation variations can pose, which may explain why precipitation
482 had little influence in our study.

483

484 Despite having a significant effect on poaching activity in previous studies, NDVI and
485 distances (to water, to towns and to roads, Rashidi et al. 2016; Moore et al. 2021;
486 Ghoddousi et al. 2022) were not found to be significantly associated to poaching
487 evidence in our system. We attribute this result to the narrow range of values in those
488 covariates (Table 1), which makes it difficult to detect an effect. Therefore, we suggest
489 considering these covariates in future studies, as the influence may be significant and
490 vary in this and other regions with broader ranges of covariate values. It is also crucial
491 to highlight that, although we have noticed a decline in poaching incidents within the
492 study area, this could indicate a shift of poaching activities to regions where our
493 monitoring resources are insufficient (Astaras et al. 2020, Van Doormaal et al. 2022).

494

495 **Conclusions**

496 Our findings suggest that employing complementary techniques, such as SMART
497 patrols and PAM, not only provides valuable data across different spatial scales and
498 timeframes but can also contribute to reduce poaching within protected areas. These
499 techniques allow local managers to gain a comprehensive understanding of poaching
500 activities, facilitating the implementation of adaptive management strategies to
501 effectively reduce threats. We also provide a foundation for understanding how some
502 explanatory variables of poaching activity, like distance patrolled, percentage of moon
503 illumination and patrol effort can significantly affect the number of detected poaching
504 events in areas with similar contexts. Other potentially influential covariates, such as
505 distance to water, roads, towns, and NDVI, did not show significant impact in our case,

506 but this may have been the result of the narrow range of values available in our study,
507 and we recommend these covariates should be investigated in future studies.

508

509 There is an urgent need to increase protection and monitoring efforts to cover larger or
510 less prioritized areas. We also advocate for expanding methods beyond relying solely on
511 technology by integrating complementary techniques, especially when cost-related
512 limitations hinder acquiring equipment from other countries, as is often the case in
513 countries of the Global South. Although implementing PAM can be expensive—
514 especially due to the initial investment in recorders and accessories, as well as the
515 learning curve for installation and data analysis— we consider it has been an effective
516 method in our study area. PAM has contributed substantially to detecting poaching
517 activity and complemented the information gathered through patrols. Based on this
518 experience, we strongly recommend the use of PAM and aspire to expand its coverage
519 to cover larger areas. However, we recognize that such expansion requires financial and
520 technical resources that are not always available. This highlights the importance of
521 integrating complementary approaches rather than relying solely on externally sourced
522 technologies. Combining methods like PAM with patrols can offer a robust, realistic
523 and context-appropriate monitoring strategy in areas like the one of our study.

524

525 We recognize the importance of reinforcing patrols, since they serve multiple purposes:
526 gathering evidence of poaching and other illegal activities (e.g. logging), as well as
527 biodiversity monitoring. Additionally, promoting patrols that employ local people may
528 benefit communities near protected areas by providing economic alternatives and
529 greater community involvement. Given the unique challenges of managing a bordering
530 protected area, such as SCWFR, we recommend promoting binational collaboration
531 with Honduras and enhancing inter-institutional cooperation to support local managers
532 in undertaking conservation actions in the area. We recognize that poaching in the area
533 is a complex issue. Therefore, we also recommend that this inter-institutional
534 collaboration, aim to uncover the underlying factors leading to poaching by
535 investigating the motivations of poachers in the area, allowing a more efficient and
536 comprehensive approach to addressing the threat.

537

538 In our case, acoustic data was used exclusively to generate synthesized and actionable
539 outputs for local protected area managers to facilitate decision-making. However, we
540 recognize the importance of ensuring a responsible use of digital technologies applied in
541 conservation, ensuring that they remain aligned with transparent, locally relevant, and
542 ethical practices. We encourage future implementations of PAM and similar
543 technologies to be mindful of these risks and to be guided by principles of local
544 engagement and accountability throughout the monitoring process.

545 Finally, as researchers from the Global South, we acknowledge the persistent structural
546 North/South inequalities that shape access to resources for conservation technologies.
547 Therefore, we recommend that future studies, particularly those conducted in the Global
548 South, address these imbalances not only through technical solutions but also by
549 considering broader contextual factors, limitations and opportunities. We also
550 emphasize the importance of fostering deeper and long-term collaboration, co-
551 production and investment in local capacities to ensure more sustainable and equitable
552 conservation efforts.

553

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567

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